

# The Burger Court Opinion Writing Database

*Crist v. Bretz*

437 U.S. 28 (1978)

Paul J. Wahlbeck, George Washington University  
James F. Spriggs, II, Washington University in St. Louis  
Forrest Maltzman, George Washington University



Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
THE CHIEF JUSTICE

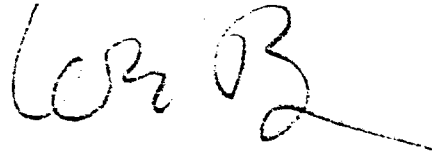
November 8, 1977

Re: 76-1200 - Crist v. Cline

Dear John:

Your November 8 suggested formulation for the  
above is satisfactory.

Regards,



Mr. Justice Stevens

Copies to the Conference

60-177  
Wm Brennan

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
THE CHIEF JUSTICE

June 7, 1978

Dear Potter:

Re: 76-1200 Crist v. Cline

This case is giving me more of the same trouble I expressed at Conference. It disturbs me to "constitutionalize" what is hardly more than a procedural matter. In addition, there is no genuine need for uniformity of state and federal practice, and principles of federalism are to the contrary.

I will have my position--and possible writing--by next week's conference.

Regards,

W E B.  
jr

Mr. Justice Stewart

cc: The Conference

To: Mr. Justice Rehnquist  
Mr. Justice Stewart  
Mr. Justice White  
Mr. Justice Marshall  
Mr. Justice Blackmun  
Mr. Justice Powell  
Mr. Justice Rehnquist  
Mr. Justice Stevens

From: The Chief Justice

Circulated: JUN 9 1978

Recirculated: \_\_\_\_\_

Re: 76-1200 Crist v. Cline

MR. CHIEF JUSTICE BURGER, dissenting.

As a "rulemaking" matter, the result reached by the Court is a reasonable one; it is the Court's decision to constitutionalize the rule that jeopardy attaches at the point when the jury is sworn -- so as to bind the states -- that I reject. This is but another example of how constitutional guarantees are trivialized by the insistence on mechanical uniformity between state and federal practice. There is, of course, no reason why the state and federal rules must be the same. In the period between the swearing of the jury and the swearing of the first witness, the concerns underlying the constitutional guarantee against double jeopardy are simply not threatened in any meaningful sense even on the least sanguine of assumptions about prosecutorial behavior. We should be cautious about constitutionalizing every

To: Mr. Justice Brennan  
Mr. Justice Stewart  
Mr. Justice White  
Mr. Justice Rehnquist  
Mr. Justice Blackmun  
Mr. Justice Burger  
Mr. Justice Powell  
Mr. Justice Stevens

1st DRAFT

SUPREME COURT OF THE UNITED STATES

No. 76-1200

From: The Chief Justice

Circulated: \_\_\_\_\_

Recirculated **JUN 13 1978**

Roger Crist, as Warden of the  
Montana State Penitentiary,  
Deer Dodge, Montana,  
et al., Appellants,  
v.  
L. R. Bretz et al.

On Appeal from the United  
States Court of Appeals for  
the Ninth Circuit.

[June —, 1978]

MR. CHIEF JUSTICE BURGER, dissenting.

As a "rulemaking" matter, the result reached by the Court is a reasonable one; it is the Court's decision to constitutionalize the rule that jeopardy attaches at the point when the jury is sworn—so as to bind the States—that I reject. This is but another example of how constitutional guarantees are trivialized by the insistence on mechanical uniformity between state and federal practice. There is, of course, no reason why the state and federal rules must be the same. In the period between the swearing of the jury and the swearing of the first witness, the concerns underlying the constitutional guarantee against double jeopardy are simply not threatened in any meaningful sense even on the least sanguine of assumptions about prosecutorial behavior. We should be cautious about constitutionalizing every procedural device found useful in federal courts, thereby foreclosing the States from experimentation with different approaches which are equally compatible with constitutional principles. All things "good" or "desirable" are not mandated by the Constitution. States should remain free to have procedures attuned to the special problems of the criminal justice system at the state and local levels. Principles of federalism should not so readily be compromised for the sake of a uniformity finding sustenance per-

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE WM. J. BRENNAN, JR.

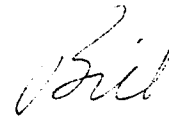
November 22, 1977

RE: No. 76-1200 Crist v. Cline & Bretz

Dear John:

This is just formally to affirm that I approve the questions in the above. Have you decided whether or not to precede the second question by "If not"?

Sincerely,



Mr. Justice Stevens

cc: The Conference

OCT 77  
Wm Brennan

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE WM. J. BRENNAN, JR.

May 22, 1978

RE: No. 76-1200 Crist v. Cline & Bretz

Dear Potter:

Please join me.

Sincerely,

*Bill*

Mr. Justice Stewart

cc: The Conference

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE POTTER STEWART

November 8, 1977

No. 76-1200 - Crist v. Cline

Dear John,

Your proposed order seems fine  
to me.

Sincerely yours,

Mr. Justice Stevens

Copies to the Conference

Oct 77  
Wm. Brennan



To: The Chief Justice  
 ✓ Mr. Justice Brennan  
 Mr. Justice White  
 Mr. Justice Marshall  
 Mr. Justice Blackmun  
 Mr. Justice Powell  
 Mr. Justice Rehnquist  
 Mr. Justice Stewart

From: Mr. Justice Stewart

Filed: 19 MAY 1978

Related: \_\_\_\_\_

1st DRAFT

## SUPREME COURT OF THE UNITED STATES

No. 76-1200

Roger Crist, as Warden of the  
 Montana State Penitentiary,  
 Deer Lodge, Montana, et al.,  
 Appellants,  
 v.

On Appeal from the United  
 States Court of Appeals for  
 the Ninth Circuit.

Merrel Cline and L. R. Bretz.)

[May —, 1978]

MR. JUSTICE STEWART delivered the opinion of the Court.

This case involves an aspect of the constitutional guarantee against being twice put in jeopardy. The precise issue is whether the federal rule governing the time when jeopardy attaches in a jury trial is binding on Montana through the Fourteenth Amendment. The federal rule is that jeopardy attaches when the jury is empaneled and sworn; a Montana statute provides that jeopardy does not attach until the first witness is sworn.<sup>1</sup>

<sup>1</sup> Mont. Rev. Codes Ann. § 95-1711 (3) (1947) provides in pertinent part:

"[A] prosecution based upon the same transaction as a former prosecution is barred by such former prosecution under the following circumstances: . . . (d) The former prosecution was improperly terminated. Except as provided in this subsection, there is an improper termination of a prosecution if the termination is for reasons not amounting to an acquittal, and it takes place after the first witness is sworn but before verdict. . . ."

See also *State v. Cunningham*, 166 Mont. 530, 535 P. 2d 186, 189. In addition to Montana, Arizona also holds that jeopardy does not attach until "proceedings commence," although this may be as early as the opening statement. *Klinefelter v. Maricopa County*, — Ariz. —, 502

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE POTTER STEWART

May 22, 1978

No. 76-1200, Crist v. Cline

Dear Harry,

Thanks for your note of today. After considerable thought, I concluded that the considerations you mention all furnish valid reasons for holding that a defendant is put in jeopardy at an early stage of a criminal trial, but none of them can be said to lead to a holding that the precise point at which jeopardy attaches is when the jury is empaneled and sworn. Indeed, these considerations could argue equally for holding that jeopardy attaches even before that point is reached. (See the last sentence of note 16 on page 10.) I stressed these considerations, therefore, only in discussing why jeopardy attaches long before final verdict. (See the quotation from Green on page 7.) I was leery, however, of relying on anything other than trial by the chosen jury to pinpoint the precise stage at which jeopardy does attach.

Lewis is going to circulate a dissenting opinion in due course. I shall certainly bear your thoughts in mind in deciding whether any modifications in my opinion seem to be called for in response to his dissent.

Sincerely yours,

P.S.  
✓

Mr. Justice Blackmun

The Chief Justice  
 Mr. Justice Brennan  
 Mr. Justice White  
 ✓ Mr. Justice Marshall  
 Mr. Justice Blackmun  
 Mr. Justice Powell  
 Mr. Justice Rehnquist  
 Mr. Justice Stevens

Mr. Justice Stewart

Circulated: \_\_\_\_\_

Circulated: \_\_\_\_\_

2nd DRAFT

## SUPREME COURT OF THE UNITED STATES

No. 76-1200

Roger Crist, as Warden of the  
 Montana State Penitentiary,  
 Deer Lodge, Montana,  
 et al., Appellants,  
 v.  
 L. R. Bretz et al.

On Appeal from the United  
 States Court of Appeals for  
 the Ninth Circuit.

[May —, 1978]

MR. JUSTICE STEWART delivered the opinion of the Court.

This case involves an aspect of the constitutional guarantee against being twice put in jeopardy. The precise issue is whether the federal rule governing the time when jeopardy attaches in a jury trial is binding on Montana through the Fourteenth Amendment. The federal rule is that jeopardy attaches when the jury is empaneled and sworn; a Montana statute provides that jeopardy does not attach until the first witness is sworn.<sup>1</sup>

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To: The Chief Justice  
 Mr. Justice Brennan  
 Mr. Justice Stewart  
 ✓ Mr. Justice White  
 Mr. Justice Rehnquist  
 Mr. Justice Souter  
 Mr. Justice Ginsburg  
 Mr. Justice Breyer

3rd DRAFT

SUPREME COURT OF THE UNITED STATES

No. 76-1200

SEE PAGES:  
 2, 3, 5, 10

Roger Crist, as Warden of the Montana State Penitentiary, Deer Lodge, Montana, et al., Appellants, v. L. R. Bretz et al.	}	On Appeal from the United States Court of Appeals for the Ninth Circuit.
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[May —, 1978]

MR. JUSTICE STEWART delivered the opinion of the Court.

This case involves an aspect of the constitutional guarantee against being twice put in jeopardy. The precise issue is whether the federal rule governing the time when jeopardy attaches in a jury trial is binding on Montana through the Fourteenth Amendment. The federal rule is that jeopardy attaches when the jury is empaneled and sworn; a Montana statute provides that jeopardy does not attach until the first witness is sworn.<sup>1</sup>

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See also *State v. Cunningham*, 166 Mont. 530, 535 P. 2d 186, 189. In addition to Montana, Arizona also holds that jeopardy does not attach until "proceedings commence," although this may be as early as the opening statement. *Klinefelter v. Maricopa County*, — Ariz. —, 502

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE POTTER STEWART

June 19, 1978

MEMORANDUM TO THE CONFERENCE

Re: Case held for No. 76-1200, Crist v. Bretz

The one case held for Crist v. Bretz is Shaw v. Georgia, No. 77-5935. In Shaw the jury at the first trial was dismissed prior to its being empaneled and sworn. There later was another trial, which ended in a conviction. The state courts held there was no double jeopardy violation.

The only issue in Shaw is the determination of the time when jeopardy attaches in a state jury trial. Since Crist holds that jeopardy does not attach until the jury has been empaneled and sworn, it is consistent with Shaw. Accordingly, I will vote to deny the petition for certiorari.

P.S.  
P.S.

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE BYRON R. WHITE

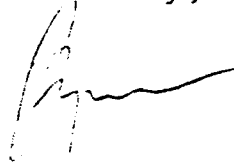
November 9, 1977

Re: No. 76-1200 - Crist v. Cline

Dear John:

Your suggested form of order in this case  
is satisfactory to me.

Sincerely,



Mr. Justice Stevens

Copies to Conference

Oct 77  
Wm Brown

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE BYRON R. WHITE

May 22, 1978

Re: 76-1200 - Crist v. Cline & Bretz

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Dear Potter,

Please join me.

Sincerely yours,



Mr. Justice Stewart

Copies to the Conference

No. 76-1200, Crist v. Cline

To: The Chief Justice  
Mr. Justice Brennan  
Mr. Justice Stewart  
Mr. Justice White  
Mr. Justice Blackmun  
Mr. Justice Powell  
Mr. Justice Rehnquist  
Mr. Justice Stevens

MR. JUSTICE MARSHALL, dissenting.

From: Mr. Justice Marshall

Circulated: NOV 22 1977

Recirculated: \_\_\_\_\_

By its order restoring this case to the calendar for

rebriefing and additional oral argument, the Court appears once again to be "reach[ing] out" for a vehicle to change a long line of precedent. See Pennsylvania v. Mimms, \_\_\_\_ U.S., \_\_\_\_, \_\_\_\_, slip op. at 3 (Stevens, J., dissenting). The Court asks the parties to discuss the rule to be applied in the federal courts with regard to attachment of jeopardy, a rule that is very well-established.<sup>1/</sup> But the parties here are the State of Montana and state court defendants, parties who can hardly be considered knowledgeable about the federal courts. The Court attempts to surmount this difficulty by asking the Solicitor General to provide the federal prosecutor's perspective on this important issue, but it does not invite any defendants' representative to submit a brief giving the federal defendant's perspective.

In my view, the Court today does violence to two assumptions underlying Article III of the Constitution: that we will not anticipate a question before it is necessary to decide it,<sup>2/</sup> and that both sides of an issue will be vigorously represented by involved advocates.<sup>3/</sup> See generally Ashwander v. TVA, 297 U.S. 288, 346-48 (1938) (Brandeis, J., concurring). I dissent from the order restoring the case for reargument.

6 OCT 77  
Wm Brennan

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Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE THURGOOD MARSHALL

May 22, 1978

Re: No. 76-1200 - Crist v. Cline & Bretz

Dear Potter:

Please join me.

Sincerely,

*J.M.*

T.M.

Mr. Justice Stewart

cc: The Conference

To: The Chief Justice  
Mr. Justice Brennan  
Mr. Justice Stewart  
Mr. Justice White  
Mr. Justice Blackmun  
Mr. Justice Powell  
Mr. Justice Brennan  
Mr. Justice Stevens

From: Mr. Justice Marshall

1st DRAFT

Circulated: \_\_\_\_\_  
Recirculated: **NOV 28 1977**

**SUPREME COURT OF THE UNITED STATES**

No. 76-1200

Roger Crist, as Warden of  
the Montana State Peni-  
tentiary, Deer Lodge,  
Montana, et al.,  
Appellants,  
v.  
Merrel Cline and L. R. Bretz.

On Appeal from the United  
States Court of Appeals for  
the Ninth Circuit.

[November —, 1977]

MR. JUSTICE MARSHALL, dissenting.

By its order restoring this case to the calendar for rebriefing and additional oral argument, the Court appears once again to be "reach[ing] out" for a vehicle to change a long line of precedent. See *Pennsylvania v. Mimms*, — U. S., —, —, slip op., at 3 (STEVENS, J., dissenting). The Court asks the parties to discuss the rule to be applied in the federal courts with regard to attachment of jeopardy, a rule that is very well established.<sup>1</sup> But the parties here are Montana prison officials, represented by the Attorney General of Montana, and state court defendants; they can hardly be considered knowledgeable about the federal courts. The Court attempts to surmount this difficulty by inviting the Solicitor General to provide the federal prosecutor's perspective on this important issue, yet it does not invite the other side, federal defendants

<sup>1</sup> The current federal rule on attachment of jeopardy was applied in the federal courts as early as 1868. *United States v. Watson*, 28 Fed. Cas. 499 (SDNY 1868). Since *Downum v. United States*, 372 U. S. 734 (1963), it has never been questioned in this Court that jeopardy attaches when the jury is sworn. See, e. g., *Illinois v. Somerville*, 410 U. S. 458, 467 (1973); *id.*, at 471 (WHITE, J., dissenting); *Serfass v. United States*, 420 U. S. 377, 388 (1975); *United States v. Martin Linen Supply Co.*, 430 U. S. 564, 569 (1977).

## SUPREME COURT OF THE UNITED STATES

ROGER CRIST, AS WARDEN OF THE MONTANA  
STATE PENITENTIARY, DEER LODGE, MONTANA,  
ET AL. v. MERREL CLINE AND L. R. BRETZ

ON APPEAL FROM THE UNITED STATES COURT OF APPEALS FOR THE  
NINTH CIRCUIT

No. 76-1200. Decided December 5, 1977

### ORDER

This case is restored to the calendar for reargument. Counsel are requested to brief and discuss during oral argument the following questions:

1. Is the rule heretofore applied in the federal courts—that jeopardy attaches in jury trials when the jury is sworn—constitutionally mandated?
2. Should this Court hold that the Constitution does not require jeopardy to attach in any trial—state or federal, jury or nonjury—until the first witness is sworn?

The Solicitor General is invited to file a brief expressing the views of the United States on each of these questions.

MR. JUSTICE MARSHALL, dissenting.

By its order restoring this case to the calendar for rebriefing and additional oral argument, the Court appears once again to be "reach[ing] out" for a vehicle to change a long line of precedent. See *Pennsylvania v. Mimms*, — U. S. —, —, slip op., at 3 (STEVENS, J., dissenting). The Court asks the parties to discuss the rule to be applied in the federal courts with regard to attachment of jeopardy, a rule that is very well established.<sup>1</sup> But the parties here are Montana prison offi-

<sup>1</sup> The current federal rule on attachment of jeopardy was applied in the federal courts as early as 1868. *United States v. Watson*, 28 Fed. Cas. 499 (SDNY 1868). Since *Downum v. United States*, 372 U. S. 734 (1963), it has never been questioned in this Court that jeopardy attaches

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE HARRY A. BLACKMUN

November 11, 1977

Re: No. 76-1200 - Crist v. Cline

Dear John:

I go along.

Sincerely,

*HAB*

Mr. Justice Stevens

cc: The Conference

*Oct 77  
Wm Brennan*

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE HARRY A. BLACKMUN

Rochester, Minnesota

December 1, 1977

Re: No. 76-1200 - Crist v. Cline

Dear John:

On balance, I would also prefer the presence of the words "If not" in the second question. This, however, is not earthshaking.

Sincerely,

H. A. B.

Mr. Justice Stevens

cc: The Conference

Wm Brennan  
Dec 177

*Supreme Court of the United States*  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE HARRY A. BLACKMUN

May 22, 1978

Re: No. 76-1200 - Crist v. Cline and Bretz

Dear Potter:

Please join me.

As I read your opinion, particularly at page 8, you rely for the result on only one interest of the defendant, namely, retaining a chosen jury. I believe our earlier cases explain that there are other interests that deserve protection, vis., the avoidance of repetitive stress, continuing embarrassment, and prosecutorial overreaching before the first witness is sworn. I think I would have preferred having some mention made of these other interests, for they are implemented in the swearing of the jury. I do not feel strongly enough about this, however, to write separately, and I shall be content with your ultimate decision in the matter.

Sincerely,

*HAB*

Mr. Justice Stewart

cc: The Conference

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE HARRY A. BLACKMUN

June 2, 1978

Re: No. 76-1200 - Crist v. Bretz

Dear Potter:

Now that Lewis has written his dissent, I have indulged in a few paragraphs by way of separate concurrence. This is out of line with the last sentence of my letter to you of May 22, but on reconsideration I felt I should write.

Sincerely,

*Harry*

Mr. Justice Stewart

cc: The Conference

To: The Chief Justice  
Mr. Justice Brennan  
Mr. Justice Stewart  
Mr. Justice White  
Mr. Justice Marshall  
Mr. Justice Powell  
Mr. Justice Rehnquist  
Mr. Justice Stevens

From: Mr. Justice Blackmun

Circulated: JUN 2 1978

Recirculated: \_\_\_\_\_

No. 76-1200 - Crist v. Bretz

MR. JUSTICE BLACKMUN, concurring.

Although I join the Court's opinion, I write to emphasize the fact that I am not content to rest the result, as the Court seems to be, ante, p. 8, solely on the defendant's "valued right to have his trial completed by a particular tribunal," a factor mentioned by Mr. Justice Black, speaking for the Court, in Wade v. Hunter, 336 U.S. 684, 689(1949). That approach would also support a conclusion that jeopardy attaches at the very beginning of the jury selection process. See Schulhofer, Jeopardy and Mistrials, 125 U. Pa. L. Rev. 449, 512-514 (1977).



To: The Chief Justice  
 Mr. Justice Brennan  
 Mr. Justice Stewart  
 Mr. Justice White  
 Mr. Justice Marshall  
 Mr. Justice Powell  
 Mr. Justice Rehnquist  
 Mr. Justice Stevens

1st PRINTED DRAFT

SUPREME COURT OF THE UNITED STATES

No. 76-1200

Circulated: JUN 5 1978

Recirculated: \_\_\_\_\_

Roger Crist, as Warden of the  
 Montana State Penitentiary,  
 Deer Lodge, Montana,  
 et al., Appellants,  
 v.  
 L. R. Bretz et al.

On Appeal from the United  
 States Court of Appeals for  
 the Ninth Circuit.

[June —, 1978]

MR. JUSTICE BLACKMUN, concurring.

Although I join the Court's opinion, I write to emphasize the fact that I am not content to rest the result, as the Court seems to be, *ante*, p. 8, solely on the defendant's "valued right to have his trial completed by a particular tribunal," a factor mentioned by Mr. Justice Black, speaking for the Court, in *Wade v. Hunter*, 336 U. S. 684, 689 (1949). That approach would also support a conclusion that jeopardy attaches at the very beginning of the jury selection process. See Schulhofer, *Jeopardy and Mistrials*, 125 U. Pa. L. Rev. 449, 512-514 (1977).

Other interests are involved here as well: repetitive stress and anxiety upon the defendant; continuing embarrassment for him; and the possibility of prosecutorial overreaching in the opening statement.

It is perhaps true that each of these interests could be used, too, to support an argument that jeopardy attaches at some point before the jury is sworn. I would bring all these interests into focus, however, at the point where the jury is sworn because it is then and there that the defendant's interest in the jury reaches its highest plateau, because the opportunity for prosecutorial overreaching thereafter increases substantially, and because stress and possible embarrassment for the defendant from then on is sustained.

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE LEWIS F. POWELL, JR.

November 9, 1977

No. 76-1200 Crist v. Cline

Dear John:

I agree with your order in the above case.

Sincerely,

*Lewis*

Mr. Justice Stevens

lfp/ss

cc: The Conference

lfp/ss

OCT 77  
Wm Brinner

✓  
✓  
Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE LEWIS F. POWELL, JR.

May 22, 1978

No. 76-1200 Crist v. Cline

Dear Potter:

In due time I will circulate a dissent.

Sincerely,

*L. Lewis*

Mr. Justice Stewart

lfp/ss

cc: The Conference

lfp/ss 6/1/78

To: The Chief Justice  
Mr. Justice Brennan  
Mr. Justice Stewart  
Mr. Justice White  
Mr. Justice Marshall  
Mr. Justice Blackmun  
Mr. Justice Rehnquist  
Mr. Justice Stevens

From: Mr. Justice Powell

Circulated: 1 JUN 1978

Recirculated: \_\_\_\_\_

No. 76-1200 CRIST v. CLINE

MR. JUSTICE POWELL, dissenting.

The rule that jeopardy attaches in a jury trial at the moment the jury is sworn is not mandated by the Constitution. It is the product of historical accident, embodied in a Court decision without the slightest consideration of the policies it purports to serve. Because these policies would be served equally well by a rule fixing the attachment of jeopardy at the swearing of the first witness, I would uphold the Montana statute. Even if one assumed that the Fifth Amendment now requires the attachment of jeopardy at the swearing of the jury, I would view that rule as incidental to the purpose of the Double Jeopardy Clause and hence not incorporated through the Due Process Clause of the Fourteenth Amendment and not applicable to the States. I therefore dissent.

To: The Chief Justice  
 Mr. Justice Brennan  
 Mr. Justice Stewart  
 Mr. Justice White  
 Mr. Justice Marshall  
 Mr. Justice Blackmun  
 Mr. Justice Rehnquist  
 Mr. Justice Stevens

From: Mr. Justice Powell

1st PRINTED DRAFT

Circulated: \_\_\_\_\_

Re-circulated: 6 JUN 1978

# SUPREME COURT OF THE UNITED STATES

No. 76-1200

Roger Crist, as Warden of the  
 Montana State Penitentiary,  
 Deer Lodge, Montana,  
 et al., Appellants,  
 v.  
 L. R. Bretz et al.

On Appeal from the United  
 States Court of Appeals for  
 the Ninth Circuit.

[June —, 1978]

MR. JUSTICE POWELL, dissenting.

The rule that jeopardy attaches in a jury trial at the moment the jury is sworn is not mandated by the Constitution. It is the product of historical accident, embodied in a Court decision without the slightest consideration of the policies it purports to serve. Because these policies would be served equally well by a rule fixing the attachment of jeopardy at the swearing of the first witness, I would uphold the Montana statute. Even if one assumed that the Fifth Amendment now requires the attachment of jeopardy at the swearing of the jury, I would view that rule as incidental to the purpose of the Double Jeopardy Clause and hence not incorporated through the Due Process Clause of the Fourteenth Amendment and not applicable to the States. I therefore dissent.

## I

As the Court correctly observes, *ante*, at 5-6, it is clear that in the early years of our national history the constitutional guarantee against double jeopardy was restricted to cases in which there had been a complete trial—culminating in acquittal or conviction. The limited debate on the Double Jeopardy Clause in the House of Representatives confirms this proposi-

Stylistic Changes Throughout

To: The Chief Justice  
Mr. Justice Brennan  
Mr. Justice Stewart  
Mr. Justice White  
Mr. Justice Marshall  
Mr. Justice Blackmun  
Mr. Justice Rehnquist  
Mr. Justice Stevens

From: Mr. Justice Powell

2nd DRAFT

Circulated: \_\_\_\_\_

Recirculated: 7 JUN 1978

SUPREME COURT OF THE UNITED STATES

No. 76-1200

Roger Crist, as Warden of the Montana State Penitentiary, Deer Lodge, Montana, et al., Appellants, v. L. R. Bretz et al.	}	On Appeal from the United States Court of Appeals for the Ninth Circuit.
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[June —, 1978]

MR. JUSTICE POWELL, with whom MR. JUSTICE REHNQUIST joins, dissenting.

The rule that jeopardy attaches in a jury trial at the moment the jury is sworn is not mandated by the Constitution. It is the product of historical accident, embodied in a Court decision without the slightest consideration of the policies it purports to serve. Because these policies would be served equally well by a rule fixing the attachment of jeopardy at the swearing of the first witness, I would uphold the Montana statute. Even if one assumed that the Fifth Amendment now requires the attachment of jeopardy at the swearing of the jury, I would view that rule as incidental to the purpose of the Double Jeopardy Clause and hence not incorporated through the Due Process Clause of the Fourteenth Amendment and not applicable to the States. I therefore dissent.

I

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Mr. Justice Stewart  
 Mr. Justice White  
 Mr. Justice Marshall  
 Mr. Justice Blackmun  
 Mr. Justice Rehnquist  
 Mr. Justice Powell

From: Mr. Justice Powell

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3rd DRAFT

# SUPREME COURT OF THE UNITED STATES

No. 76-1200

Roger Crist, as Warden of the  
 Montana State Penitentiary,  
 Deer Lodge, Montana,  
 et al., Appellants,

v.

L. R. Bretz et al.

On Appeal from the United  
 States Court of Appeals for  
 the Ninth Circuit.

[June —, 1978]

MR. JUSTICE POWELL, with whom THE CHIEF JUSTICE and  
 MR. JUSTICE REHNQUIST join, dissenting.

The rule that jeopardy attaches in a jury trial at the moment the jury is sworn is not mandated by the Constitution. It is the product of historical accident, embodied in a Court decision without the slightest consideration of the policies it purports to serve. Because these policies would be served equally well by a rule fixing the attachment of jeopardy at the swearing of the first witness, I would uphold the Montana statute. Even if one assumed that the Fifth Amendment now requires the attachment of jeopardy at the swearing of the jury, I would view that rule as incidental to the purpose of the Double Jeopardy Clause and hence not incorporated through the Due Process Clause of the Fourteenth Amendment and not applicable to the States. I therefore dissent.

## I

As the Court correctly observes, *ante*, at 5-6, it is clear that in the early years of our national history the constitutional guarantee against double jeopardy was restricted to cases in which there had been a complete trial—culminating in acquittal or conviction. The limited debate on the Double Jeopardy Clause in the House of Representatives confirms this proposi-

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE WILLIAM H. REHNQUIST

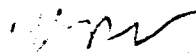
November 8, 1977

Re: No. 76-1200 - Crist v. Cline

Dear John:

Your proposed order seems fine to me.

Sincerely,



Mr. Justice Stevens

Copies to the Conference

Oct 77  
Wm Brennan



Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE WILLIAM H. REHNQUIST

June 1, 1978

Re: No. 76-1200 Crist v. Cline

Dear Lewis:

Please join me in your dissent.

Sincerely,



Mr. Justice Powell

Copies to the Conference

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE JOHN PAUL STEVENS

November 8, 1977

MEMORANDUM TO THE CONFERENCE

Re: 76-1200 - Crist v. Cline

Here is a possible form of order setting the case for reargument:

"This case is restored to the calendar for reargument. Counsel are requested to brief and discuss during oral argument the following questions:

1. Is the rule heretofore applied in the federal courts--that jeopardy attaches in jury trials when the jury is sworn--constitutionally mandated?

2. Should this Court hold that the Constitution does not require jeopardy to attach in any trial--state or federal, jury or non-jury--until the first witness is sworn?

The Solicitor General is invited to file a brief expressing the views of the United States on each of these questions."

Respectfully,



6c 877

Wm Brennan

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Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE JOHN PAUL STEVENS

November 22, 1977

Re: 76-1200 - Christ v. Cline

Dear Bill:

My slight preference for not prefacing the second question with "If not", is based on my concern that a party may decline to address the second question if he concludes that the proper answer to the first is in the affirmative.

Respectfully,



Mr. Justice Brennan

Copies to the Conference

OC 177  
Mr. Brennan

To: The Chief Justice  
Mr. Justice Brennan  
Mr. Justice Stewart  
Mr. Justice White  
Mr. Justice Marshall  
Mr. Justice Blackmun  
Mr. Justice Powell  
Mr. Justice Rehnquist

From: Mr. Justice Stevens

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1st DRAFT

## SUPREME COURT OF THE UNITED STATES

ROGER CRIST, AS WARDEN OF THE MONTANA  
STATE PENITENTIARY, DEER LODGE, MONTANA,  
ET AL. v. MERREL CLINE AND L. R. BRETZ

ON APPEAL FROM THE UNITED STATES COURT OF APPEALS FOR THE  
NINTH CIRCUIT

No. 76-1200. Decided December —, 1977

### ORDER

This case is restored to the calendar for reargument. Counsel are requested to brief and discuss during oral argument the following questions:

1. Is the rule heretofore applied in the federal courts—that jeopardy attaches in jury trials when the jury is sworn—constitutionally mandated?
2. Should this Court hold that the Constitution does not require jeopardy to attach in any trial—state or federal, jury or nonjury—until the first witness is sworn?

The Solicitor General is invited to file a brief expressing the views of the United States on each of these questions.

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OC 77  
Wm Brown

Supreme Court of the United States  
Washington, D. C. 20543

CHAMBERS OF  
JUSTICE JOHN PAUL STEVENS

May 22, 1978

Re: 76-1200 - Crist v. Cline

Dear Potter:

Please join me.

Respectfully,



Mr. Justice Stewart

Copies to the Conference